Office of Research and Sponsored Programs

GRANT Forum
Grant Research Administrators' Network
Team

August 16, 2023

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AGENDA

1. ORSP FY24 Budget Workbook
2. ORSP FY23 External Funding Report
3. NICRA/F&A Rate Agreement
4. NSF RCR
5. Future Topics

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1. ORSP FY24 Budget Workbook

New Functions
- **Cover Page**
  - **Project attributes collected in one place**
  - **Clear salary escalations (benefitted employees & graduate students)**
- **Unrecovered Indirect**

Improvements
- **Text fields now allow edits**
- **Fiscal year selection & rate table**

Link to the FY24 ORSP Budget Workbook on the All Forms ORSP webpage: https://www.umb.edu/research/orsp/all-forms/

Note: fringe rates are currently proposed rates. The workbook will be updated and redistributed when final fringe rates are available.

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2. FY23 External Funding Report

Key metrics to gauge our activity and data sources are:
1. # of proposals submitted
    o Kuali – proposals submitted date within FY23
2. $ value of awards received
    o PeopleSoft – new budgets loaded within FY23
3. Direct and indirect costs of externally funded projects
4. Effective rate of indirect returns
    o PeopleSoft – Grants expenditures

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2. FY23 External Funding Report

OUTSTANDING work by all of YOU has created the foundation for these results

- 426 Proposals Submitted: increase of 67 (19%) from last year
- $78M Awards Received: $10.6M (16%) increase over FY22
- $63M Research Expenditures: $11.5M (22%) increase over FY22
- Effective F&A Rate Increased by 1 to 17%

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2. FY23 External Funding Report

- Questions?

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3. NICRA/F&A Rate Agreement

- Current NICRA (Negotiated Indirect Cost Rate Agreement) ended 6/30/2022
- Our proposal for a new rate was due to Cost Allocation Services, Department of Health and Human Services by 12/31/2022
- Current rates remain in effect as "Provisional Rates" until a new NICRA is executed
- Two Year project a collaborative effort of A&F (Controller's Office) and the Vice Provost for Research (ORSP)
- Millions of dollars of impact (FY23 F&A recovery was $8.9M)
- Current rates are:
  - Organized Research: 52.5% MTDC
  - Instruction: 46% MTDC
  - Other Sponsored Programs: 36.4% MTDC

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Costs of Federally Sponsored Research

The total cost of federally sponsored research includes a combination of both direct and facilities and administrative (F&A) costs. Both types of expenditures are key to an institution's ability to conduct cutting-edge research. F&A consists of the construction and maintenance costs of laboratories and high-tech facilities; energy and utility expenses; and safety, security, and other government-mandated expenses. These costs are real and research cannot be conducted without them.

Secure data storage, internet, telecommunications, and high-speed data processing

Utilities – ventilation, heat, air conditioning, water, and lighting

Radiation and chemical safety, including safety training and hazardous waste disposal

Personnel in support of research, including security, financial, administrative, technical, maintenance, and janitorial staff

Library and research facilities

Advanced research lab equipment

Costs of federal, state, and local regulatory compliance, including human and animal safety review boards

Direct costs - These expenses solely cover research and include lab supplies and equipment; salaries and stipends for researchers and graduate students; and travel costs for conducting and sharing research
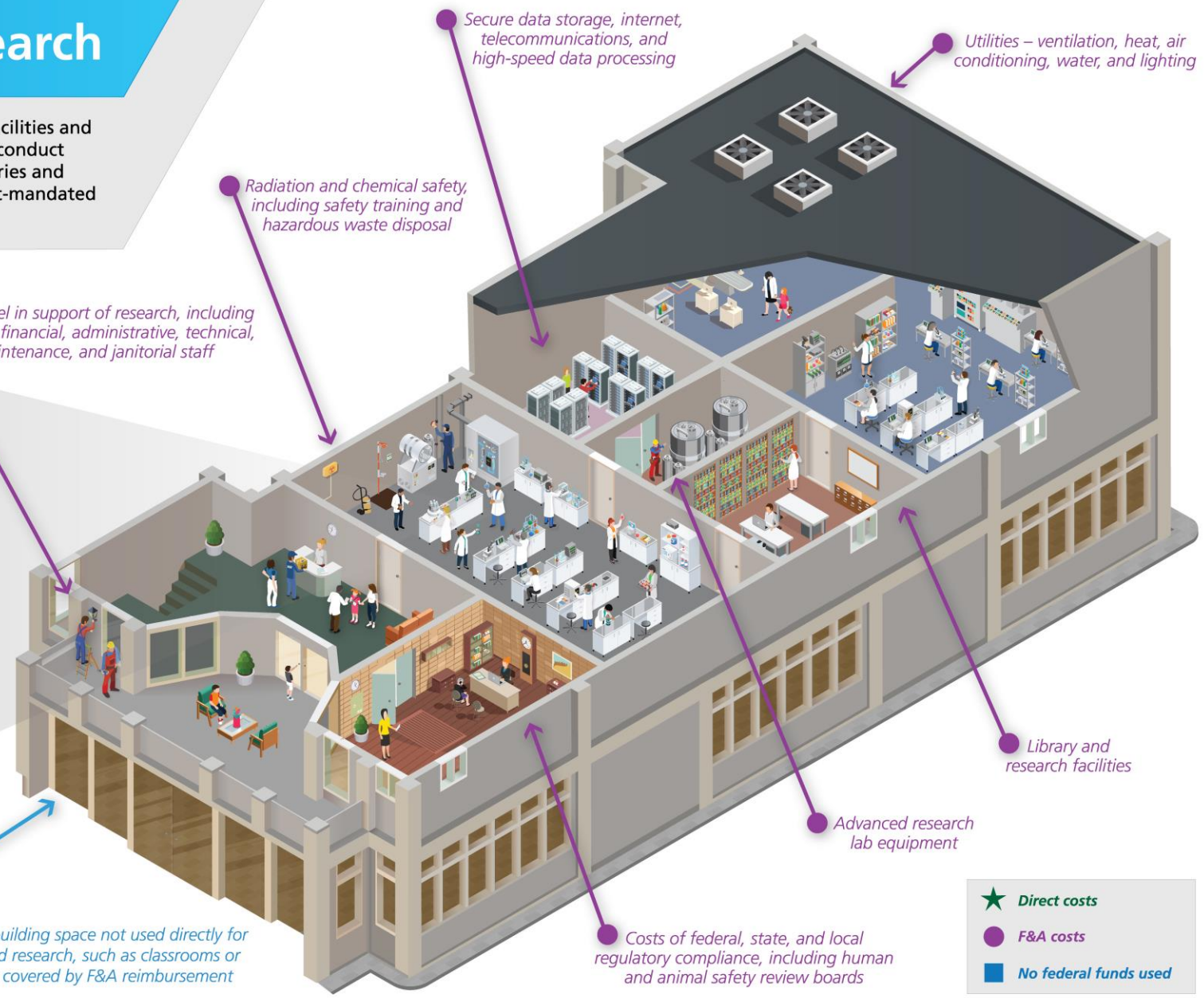
Upkeep of any building space not used directly for federally funded research, such as classrooms or lobbies, is **not** covered by F&A reimbursement

★ Direct costs
● F&A costs
■ No federal funds used

AAMC

Association of American Universities
Inquiry · Innovation · Impact

AIRI
Association of Independent Research Institutes

ASSOCIATION OF PUBLIC & LAND-GRANT UNIVERSITIES

COGR
Council On Governmental Relations

3. NICRA/F&A Rate Agreement

January 2022: Consultant search; Attain Partners selected

May–July 2022: Internal planning meetings (Controller and ORSP)

Space Inventory and Functional Usage Survey
- August 2022 - Space survey training presentation
- September-December 2022 - Collected room-by-room functional usage data; Performed quality control reviews of survey data
- January 2023→Results of the survey used to allocate facilities costs within the F&A rate proposal

3. NICRA/F&A Rate Agreement

Jan – March 2023: F&A Proposal Development; reviewed and communicated to senior leadership

March 2023: Proposal Package Submitted

September-December 2023: Negotiations with DHHS

Jan 2024 – expect to have update rates that will go in effect the date the NICRA is executed.

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3. NICRA/F&A Rate Agreement

?What will be the impact on proposals/awards?

1. Proposals submitted and awarded prior to the new rates?
- NONE. All rates that were in effect at the time of the 1st year of the award will continue until the end of all years of that award.

2. Proposals submitted using the old rates but awarded after the new NICRA?
- New budgets will need to be submitted to the funding agency to reflect the new rates

3. Current awards?
- Same as #1 - NONE. All rates that were in effect at the time of the 1st year of the award will continue until the end of all years of that award.

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3. NICRA/F&A Rate Agreement

Any expectations about what the new rates will be?
- Our indirect research costs increased since our last proposal; anticipate some increase to the OR rate; could be rolled in over a couple of years.

What happens after the NICRA is executed?
- NICRA will be in effect through 6/30/2026.
- Proposal will be due 12/31/2026, but we have an option to request a four-year extension

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3. NICRA/F&A Rate Agreement

Special Thanks to the research support staff and PIs within our departments with the most "Organized Research (OR)"

- Biology
- Psychology
- Chemistry
- Computer Science
- Engineering
- Physics
- Exercise & Health Science
- Gerontology Institute
- SFE

As well as
Facilities (Tina Perez)
- ORSP staff (Becky!!)

4. NSF and Responsible Conduct of Research RCR

For NSF proposals submitted on or after July 31, 2023, **ALL faculty and other senior personnel** named on the proposal must complete Responsible Conduct of Research (RCR/RECR) training before any funding can be awarded. Postdocs, graduate students, and undergraduates are also still required to comple RCR training before conducting NSF-supported research.
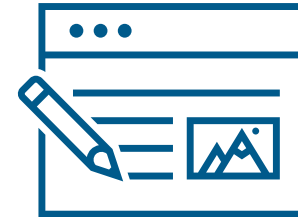
RCR Online Courses:
To help facilitate this compliance, faculty and staff can access online Responsible Conduct in Research (RCR/RECR) training through the Collaborative Institutional Training Initiative (CITI) Program.

-Responsible Conduct of Research for Faculty
-Responsible Conduct of Research for Staff
-Responsible Conduct of Research for Trainees (undergraduates, graduates, and postdoctoral fellows)

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5. Future Forum Topics

Student Payments

- Policy/Procedure Nearing Completion
  - Determination of Relationship
  - Payment Route
  - Required Backup Documentation
- Issue Resolution Meetings
  - Financial Aid
- FAQ Development
  - QUESTIONS NEEDED
- Next Step: Controller Review

UMB.edu Impact on Research

Award Setups

- Award Setup Lifecycle
- Award Setup Tracker

ORSP Training Opportunities

Kuali Proposal Development (PD) Training

ORSP Website: https://www.umb.edu/orsp/kuali_research

Kuali Proposal Development Approver Training Sessions

Sign up for a 1-hour session using the link below:

Friday, September 29 from 9-10am

Kuali Proposal Development Aggregator (proposal entry) Training Sessions

Sign up for a 2-hour session using the link below:

Thursday, September 28 from 2-4pm

Kuali Proposal Development Drop-in Sessions

Click the Zoom link below to join the Drop-in Session:

Friday, September 29 from 10-11am - Zoom Link

To schedule group or one on one trainings, contact: Virginia.Maki@umb.edu

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ORSP Training Opportunities

SUMMIT for Sponsored Programs Training

ORSP Website: https://www.umb.edu/orsp/training

SUMMIT for Sponsored Projects Drop-in Sessions

- Wednesday, August 24 from 3-4pm - Zoom Link

SUMMIT for Sponsored Projects Training Sessions - Sign up for a 1-hour session using the links below:

- Wednesday, August 30 at 4pm

To schedule group or one on one trainings, contact: Virginia.Maki@umb.edu

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External Training Opportunities

SRA Annual Meeting
October 14-18 - Seattle Pre-Conference Training 14-16

Pre-Award Research Administration (PRA)
Future Locations & Dates:
March 21 & 22, 2024: Honolulu, HI
March 20 & 21, 2025: San Diego, CA

Financial Research Administration (FRA)
Future Locations and Dates:
March 18 & 19, 2024: Honolulu, HI
March 17 & 18, 2025: San Diego, CA

Link – NCURA Virtual Training

2023 PRIM&R Annual Conference 2023 SBER
Conference
Washington, DC
December 3-6, 2023
Workshops, December 3, 2023

The 2023 Social, Behavioral, and Educational
Research Conference (SBER23) and PRIMR23
Workshops will be held on December 3.

2024 PRIM&R Annual Conference
Seattle, Washington
November 17–20, 2024

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ORSP Leadership

Matthew Meyer
Associate Vice Provost for Research
and Director of ORSP

Shala Bonyun
Associate Director of ORSP
Preaward Support Services

Rebecca Hanson
Associate Director of ORSP
Postaward Support Services

Tracey Poston, PhD
Associate Director of ORSP
Research Compliance and Integrity

Virginia Maki
Assistant Director of ORSP
eRA Systems and Training

Serena Wang
Research Core Facilities &
Operations Manager of ORSP

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